



WORLD ANTI-DOPING PROGRAM

LABORATORY GUIDELINES

***TUE* ENQUIRIES**

BY LABORATORIES

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[For the purpose of these Laboratory Guidelines, *Code* definitions are in *Italics* and ISL definitions are Underlined.]

## 1. Objective

The following Laboratory Guidelines are the result of continuing efforts to harmonize Laboratory reporting procedures based on the requirements of the *International Standard* for Laboratories (ISL)<sup>1</sup>. These Laboratory Guidelines were produced to assist the Laboratories in addressing Presumptive Adverse Analytical Findings (PAAF) in compliance with ISL Art. 5.3.4.5.4.7.3.

In accordance with this Art. of the ISL, the decision to confirm a PAAF or to report the test result as a Negative Finding shall be made by the Testing Authority and shall be retained by the Laboratory as part of the Sample record. The form included as an appendix to these Laboratory Guidelines is an example of how this requirement may be met.

## 2. Scope

These Laboratory Guidelines follow the rules established in the ISL and relevant *Technical Documents* regarding the Analytical Testing of Samples. The requirements therein are still fully applicable and shall be respected. These Laboratory Guidelines contain additional recommendations to facilitate the correspondence between Laboratories and Testing Authorities and allows for the harmonized recording of such decisions into *ADAMS*. Therefore, these Laboratory Guidelines also provide guidance on how to maintain traceability in Analytical Testing and reporting decisions until such time that specialized reporting modules are available in *ADAMS*.

## 3. TUE Enquiry Requirements

The ISL provides the option for Laboratories, if practicable, to contact the relevant Testing Authority to enquire if an approved *TUE* is on file for Amphetamine, Methylphenidate, Beta-2 Agonists, Diuretics, Glucocorticoids, Beta-blockers or for any other *Prohibited Substance* or *Prohibited Method* whose *Use* has been declared by the *Athlete* on the DCF when a PAAF is detected in the Initial Testing Procedure. If a *TUE* Enquiry is sent by the Laboratory, it shall be sent to the Testing Authority to request guidance, in writing, as to whether, on the basis of a valid *TUE* (as determined by the Testing Authority), the Testing Authority wishes the Laboratory to confirm the PAAF or report the test result as a Negative Finding as detailed in these Laboratory Guidelines.

It is strongly recommended that the Laboratory utilizes the template form (Appendix A) in these Laboratory Guidelines (or a Laboratory form containing similar descriptive elements) to receive documented and signed evidence of the Testing Authority's instructions.

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<sup>1</sup> <https://www.wada-ama.org/en/resources/laboratories/international-standard-for-laboratories-isl>

#### 4. Reporting Requirements

In order to ensure full traceability of the Testing Authority's instructions to confirm the PAAF or to report the test result as a Negative Finding, the following guidance shall be followed in order to have traceability to the Testing Authority's authorization into the *ADAMS* record.

1. The Laboratory shall record a statement in the comments section of the *ADAMS* record that clearly includes the key words "TUE Enquiry" and describes that the Testing Authority confirmed the existence of an approved TUE and that the test result may be reported as a Negative Finding. The following statement should be included in the *ADAMS* Analysis Details/Explanation/Opinion text field:

**"TUE Enquiry:"** The Testing Authority confirmed that a valid TUE is on file for **[substance]** and has authorized the reporting of this *Sample*'s test result as a Negative Finding. TUE Enquiry record is on file in the Laboratory".

The Laboratory shall produce the TUE Enquiry forms if requested by the TA or *WADA*.

2. In addition to the statement above, the Laboratory may, optionally, upload the Testing Authority-approved TUE Enquiry Form into the *Sample*'s *ADAMS* record:
  - Upload and attach the signed TUE enquiry instructions (in pdf format) through the "Activities" Tab in the *ADAMS* record (see Appendix B).
  - Save the *ADAMS* record and submit.

It is noted that the TUE enquiry form may be uploaded as described in Appendix B without the need for the Testing Authority to "un-match" the *ADAMS* record.

## Appendix A: TUE Enquiry Form

<b>Date:</b>	<b>Sample Code:</b>
<b><u>Testing Authority:</u></b>	<b>Sport/Discipline:</b>
<b>Mission Code/Batch ID/Event:</b>	

The Initial Testing Procedure(s) (ITPs) applied to the *Sample* identified the following Presumptive Adverse Analytical Finding(s), which may be linked to an approved TUE.

<b>Presumptive Adverse Analytical finding</b>	<b>Estimated concentration<sup>1</sup></b>
<input type="checkbox"/> S.6a Amphetamine	
<input type="checkbox"/> S.6b Methylphenidate	
<input type="checkbox"/> S.3 Beta-2 Agonist [specify substance(s)]:	
<input type="checkbox"/> S.5 Diuretic [specify substance(s)]:	
<input type="checkbox"/> S.9 Glucocorticoid [specify substance(s)]:	
<input type="checkbox"/> P.1 Beta-blocker [specify substance(s)]:	
<input type="checkbox"/> Other <i>Prohibited Substance</i> or <i>Prohibited Method</i> declared on the DCF [specify substance(s)/method(s)]:	

<sup>1</sup> the concentration value is a non-confirmed estimate based on a qualitative ITP result.

### Section below to be completed by the relevant Testing Authority

As per the ISL v.10.0 Art. 5.3.4.5.4.7.3, please indicate below whether the Laboratory shall perform a Confirmation Procedure (based on the existence or absence of a valid TUE for this *Prohibited Substance* / *Prohibited Method* related to the *Sample* identified above).

<input type="checkbox"/>	<p><b>Do not confirm the <u>Presumptive Adverse Analytical Finding</u> and report the test result as a <u>Negative Finding</u>.</b></p> <p>A valid TUE, in compliance to the <i>TUE International Standard</i>, is on file<sup>2</sup>.</p>
<input type="checkbox"/>	<p><b>Confirm the <u>Presumptive Adverse Analytical Finding</u> and report the <u>Confirmation Procedure</u> result.</b></p>

<sup>2</sup> It is the responsibility of the Testing Authority to ensure that the nature of the *Prohibited Substance(s)* found in the *Sample* is/are commensurate with the prescription indicated on the granted TUE. If not proceeding with the Confirmation Procedure, then the Testing Authority shall provide WADA with a copy of the approved TUE or the associated ADAMS TUE number.

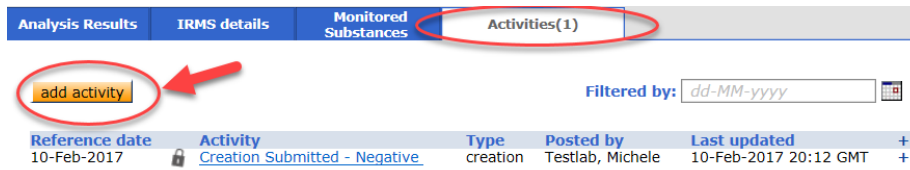
Name and title of responsible person:	
Signature of responsible person:	
Organization:	Date:

**Please forward this completed form to the Laboratory at:**

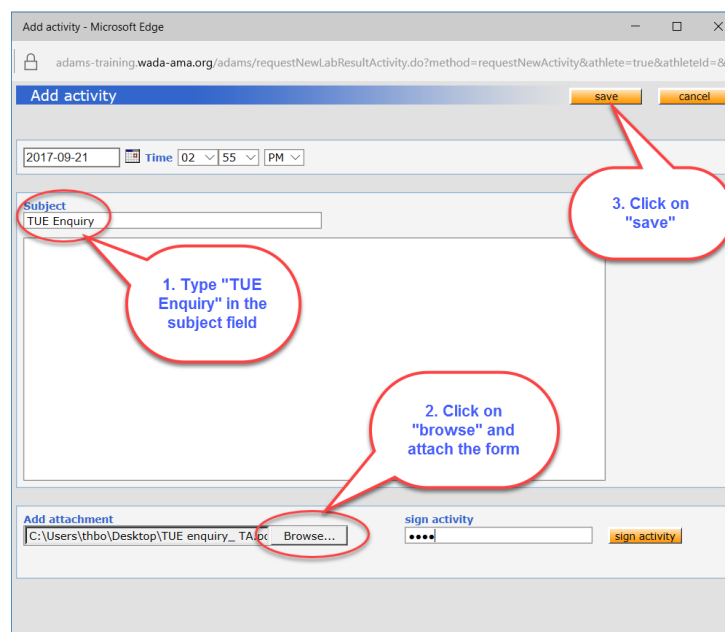
Fax: 123-456-7890 or email [scientist@laboratory.org](mailto:scientist@laboratory.org)

## Appendix B: Attaching a TUE enquiry form to an ADAMS record

1. Note that the ADAMS record will not need to be unmatched by the Testing Authority.
2. The Laboratory shall click on the “Activities” Tab in ADAMS record of the relevant *Sample* code and then click on the “Add Activity” button.



3. Type the description of the activity in the Subject line (see example below for attaching Test Reports).
4. Under “Add Attachment”, click on “Browse” to retrieve and attach the Testing Authority’s forwarded TUE enquiry document (in pdf format) and click on “Save”.



5. The TUE enquiry will be accessible to the Testing Authority and Results Management Authority.

